

**ICI Mutual Insurance Company
RISK MANAGEMENT CONFERENCE
April 7-9, 2010
Hotel Contessa ~ San Antonio, Texas**

FINAL AGENDA

Wednesday, April 7, 2010

7:00 – 9:00 p.m. **Welcome Reception - Anaqua**

Thursday, April 8, 2010

7:45 – 8:30 a.m. **Meeting Registration and Continental Breakfast – Contessa Ballroom B**

8:30 – 8:45 a.m. **Welcome and Opening Remarks**

Lawrence R. Maffia
President
ICI Mutual Insurance Company

Steven J. Paggioli
Trustee
Professionally Managed Portfolios
Chairman, ICI Mutual Risk Managers Committee

8:45 – 10:00 a.m. **The Post-Credit Crisis Landscape**

- What have we learned about risk?
- What have we learned about investors?
- What is the outlook for regulatory and legislative reform?

Lawrence R. Maffia, *Moderator*
President
ICI Mutual Insurance Company

Donald C. Auerbach
Chief Government Affairs Officer
Investment Company Institute

Barry Fink
Executive Vice President, Chief Operating Officer
American Century Investments

Bruce E. Meikle
Senior Vice President and Chief Compliance Officer
The Capital Group Companies, Inc.

10:00 – 10:15 a.m.	Break
10:15 – 11:30 a.m.	Social Media and Compliance <ul style="list-style-type: none">• Introduction to Social Networking• Corporate Policies and Risk Management
	David U. Thomas, Moderator <i>Associate Counsel</i> ICIM Services, Inc.
	Doug Cornelius <i>Chief Compliance Officer</i> Beacon Capital Partners, LLC
	Gretchen Klebasko <i>Director of Intellectual Property, Associate General Counsel</i> Legg Mason & Co., LLC
	Pauline C. Scalvino <i>Chief Compliance Officer</i> The Vanguard Group, Inc.
11:30 a.m. – 12:00 p.m.	Update on <i>Jones v. Harris</i>
	Daniel T. Steiner <i>Executive Vice President and General Counsel</i> ICI Mutual Insurance Company
12:00 – 1:00 p.m.	Lunch - Anaqua
1:00 – 1:30 p.m.	“Making Sense of Mistakes: Understanding and Avoiding Operational Errors”
	Daniel T. Steiner <i>Executive Vice President and General Counsel</i> ICI Mutual Insurance Company
1:30 – 2:30 p.m.	Investment Management Oversight <ul style="list-style-type: none">• Implementation and Automation• The Human Factor
	Julia S. Ulstrup, Moderator <i>Associate Counsel</i> ICIM Services, Inc.
	Frank Knox <i>Vice President and Chief Compliance Officer</i> John Hancock Investment Company Operations

W. Richard Mason
Corporate Counsel and Chief Compliance Officer
Madison Investment Advisors, Inc.

David J. Thelander
Founder
Thelander Advisers

2:30 – 2:45 p.m.	Break
2:45 – 3:30 p.m.	Shop Talk Session (<i>optional</i>) Group 1 – Cedar Group 2 – Laurel
4:00 p.m.	Alamo Tour or Riverwalk Boat Tour (<i>optional</i>) Meet in Main Lobby
7:00 p.m.	Reception and Dinner at Boudro's 207 N. Presa Street San Antonio, TX 78205

Friday, April 9, 2010

8:15 – 9:00 a.m.	Continental Breakfast – Contessa Ballroom B
9:00 – 9:45 a.m.	Claims and Regulatory Update David M. Smith <i>Associate Counsel</i> ICIM Services, Inc.
	 Julia S. Ulstrup <i>Associate Counsel</i> ICIM Services, Inc.
9:45 – 11:00 a.m.	Disclosure: Managing the Message <ul style="list-style-type: none">• Process and Players• Class Action Litigation• Summary Prospectus in Action
	 Daniel T. Steiner, <i>Moderator</i> <i>Executive Vice President and General Counsel</i> ICI Mutual Insurance Company

Steven J. Paggioli
Trustee
Professionally Managed Portfolios
Chairman, ICI Mutual Risk Managers Committee

David M. Smith
Associate Counsel
ICIM Services, Inc.

11:00 – 11:45 a.m.	Structuring Insurance Programs • Addressing Fund Industry Risks • Defining Scope of Coverage • Role of Reinsurance
	John T. Mulligan <i>Vice President</i> ICI Mutual Insurance Company
11:45 a.m. – 12:00 p.m.	Closing Remarks

12:00 p.m. **Boxed Lunches Available – Contessa Ballroom A**